

# Appendix F

This section contains forms, instruments, and procedures that relate to the process of functional behavioral assessment. They are included simply to illustrate the range of available forms; inclusion in this monograph should not be construed as an official endorsement of these forms by either the Virginia Department of Education or the Center for Effective Collaboration and Practice.

## Functional Behavioral Assessment Checklist

- I. Describe and verify the seriousness of the problem.
- Possible behavior(s) of concern is identified.
  - Possible behavior(s) of concern is the result of a deficit (skill/motivational).
  - Possible behavior(s) of concern is the result of an excess (activity).
  - Other \_\_\_\_\_
- II. Refine the definition of the problem behavior.
- Possible behavior(s) of concern is defined in measurable terms.
  - Possible behavior(s) of concern is defined in specific terms.
  - Possible behavior(s) of concern is defined in observable terms.
- III. Collect information on the possible functions of the problem behavior.
- Pertinent records have been reviewed (e.g., academic records, discipline referrals, child study notes, anecdotal records, evaluations, interventions).
  - Functional assessment interviews have been conducted with more than one person (e.g., student, parent, teacher, related service provider) who interacts with the student in different settings and activities.
  - Direct observations have been conducted across relevant circumstances (e.g., multiple settings, over time) and by more than one observer.
  - Other assessments (curricular, ecological, etc.) are conducted, as needed, to determine broader variables affecting student behavior.
  - Tools used produce objective information regarding events preceding and following behavior, as well as ecological and motivational variables that may be affecting the student's behavior.
  - Information is collected until patterns are evident.
- IV. Analyze information using triangulation and/or problem pathways analysis.
- The team has produced an acceptable database.
  - Data triangulation is used to review information obtained from multiple sources and multiple instruments that reflect agreement regarding occurrence/nonoccurrence of behavior.
  - Problem pathways analysis is used to determine the difference between the existing behavior and the desired outcome.
  - Other \_\_\_\_\_
- V. Generate a hypothesis statement ("best guess") (i.e., under x conditions, the student does y, in order to z) regarding the possible function of the problem behavior.
- Patterns are identified from the information collected that include:
    - circumstances in which the behavior(s) of concern is most likely and least likely (e.g., where, when, with whom); and,
    - specific functions the behavior appears to serve for the student (i.e., what they get or avoid).
  - Broader variables (e.g., curriculum, activity patterns) that may be affecting the student's behavior are identified.
  - Patterns are summarized into written statements; these statements are clear, concise, and accurate (i.e., based on data).
  - Team consensus is achieved regarding patterns addressed in the summary statements.
- VI. Test the hypothesis statement regarding the possible function of the problem behavior.
- Assessed under analog (or controlled) conditions (e.g., series of probes conducted 1:1).
  - Assessed under natural conditions (e.g., manipulating actual classroom instructional variables).
  - Other \_\_\_\_\_

- VII. Develop and implement behavior intervention plan and supports.**
- \_\_\_\_\_ Intervention strategies are clearly linked to the functional assessment information (hypothesis/summary statements).
  - \_\_\_\_\_ IEP/intervention team designs a behavioral intervention plan/support that includes:
    - description of the behavior of concern;
    - goals of the intervention;
    - patterns identified through functional assessment;
    - modifications to the social and physical environment that may prevent problem behavior and/or increase the likelihood of alternative appropriate behaviors;
    - specific behaviors (skills) to be taught and/or reinforced that will achieve the same function as the problem behavior and allow the student to cope more effectively with circumstances; and,
    - strategies for managing consequences so that reinforcement is maximized for positive behavior and minimized for problem behavior.
  - \_\_\_\_\_ Goals of intervention and specific replacement skills are incorporated into the student's overall educational plan (e.g., IEP).
  - \_\_\_\_\_ If necessary to ensure the safety and rapid deescalation of the student's behavior, crisis management procedures and criteria for their use are determined.
  - \_\_\_\_\_ Behavioral intervention plan/supports facilitates achievement of broad goals identified by the team and promotes the durability of behavior change.
  - \_\_\_\_\_ Everyone working with the student on a regular basis is familiar with the behavioral intervention plan/supports and agrees to implement.
- VIII. Monitor faithfulness of the implementation of the plan/supports.**
- \_\_\_\_\_ Training and resources needed to ensure implementation of the behavioral intervention plan/supports are made available to the team.
  - \_\_\_\_\_ An action plan for implementation is developed including specific objectives/activities, person responsible, and timelines.
  - \_\_\_\_\_ Plan implementation is monitored (e.g., through reports and observations) to ensure that strategies are accurately and consistently used within and across intervention settings.
- IX. Evaluate effectiveness of behavioral intervention plan/supports.**
- \_\_\_\_\_ Objective information is collected to evaluate the effectiveness of the behavioral intervention plan/supports. This information includes:
    - decreases in problem behavior;
    - increases in replacement skills and/or alternative behaviors;
    - achievement of broader goals; and/or,
    - durability of behavior change.
- X. Modify behavioral intervention plan/supports.**
- \_\_\_\_\_ Team communicates consistently (based on timelines determined by the team) to review progress and make necessary adjustments to the behavioral intervention plan/supports.
  - \_\_\_\_\_ Team conducts ongoing program review.